

New Client Form

gallantree

Gallantree Group Pty Ltd

Level 6, 123 Eagle Street, Brisbane, QLD 4000

Welcome to Gallantree

Thank you for choosing to join Gallantree. Please fill in the details below.

Please return all relevant forms to: clients@gallantree.com.au

1

Personal Information

Full Name

Date of Birth

Residential Address

Suburb/ Town

State

Postcode

Preferred Phone Number

Preferred Email Address

Are you a US Resident for tax purposes?

Yes

No

Are you classified as a Sophisticated Client?

Yes

No

See terms for more information

2

Company, Trust or Business details

Please add either your Company, Trust or Family Office details. If individual, go to Section 3.

Legal Business / Company/ Trust Name

ABN or ACN

Type of Structure

Private Company

Public Company

Partnership

Sole Trader

Joint Venture

Trust

3 Anti-Money Laundering / Counter Terrorism Financing (AML CTF)

The AML/CFT Act requires Gallantree (Company) to adopt and maintain an anti-money laundering and countering financing of terrorism compliance program ('AML/CFT Compliance Program'). The AML/CFT Compliance Program requires the Company to carry out customer due diligence on its Clients, and includes ongoing customer due diligence, which may require the Company to collect further information from you in the future.

Please add **one document** from **both columns** below and attach using either PDF, JPG or PNG format.

Column A

Column B

<input type="checkbox"/> Valid Passport	<input type="checkbox"/> Copy of recent Utility Bill
<input type="checkbox"/> Current Driver's License	<input type="checkbox"/> Copy of recent Bank Statement
<input type="checkbox"/> Valid Medicare Card	

5 Declaration

I, declare that the information above is true and accurate and:

- I declare that I'm authorised to complete this form;
- I understand that it is an offence to provide misleading information or documentation under the Anti-Money Laundering/ Counter Terrorism Act.

Signature

Full Name

Date

For more information, please visit www.gallantree.com.au or www.gallantree.com.au/contact

Terms and Conditions

When you complete this new client form, you make the following declarations:

- The information I have provided in this form is true and accurate;
- That we/I am over 18 years old;
- That we/I agree that Gallantree captures the information in this document for AML CTF requirements and may need clarification of information from time to time.
- That we/I are considered to be a Sophisticated client and meet at least one of the following criteria's:
 - That I personally, or in conjunction with a Trust or Company I control have aggregated net assets of over \$2.5m; or
 - I, personally, or in conjunction with a Trust or Company I control have aggregated gross incomes of over \$250,000 per year within the last 2 years.

In regards to investments and opportunities by being accepted as a Sophisticated client, I

- I acknowledge that I will be offered opportunities from time to time and to participate in new offers of securities without the benefit of a regulated disclosure document/ or prospectus issued in accordance with the Corporations Act 2001 (Cth).
- I am capable of making an investment decision without these documents or advice.
- I acknowledge as a Sophisticated Client that I will be treated as a Wholesale client and have understood the information provided by Gallantree about the consequences of not being treated as a retail client.
- I understand that Gallantree Group and it's subsidiaries does not have any other retail obligations to me that it would have if services were provided to me as a retail client.

Privacy and Information

Personal information is information or an opinion about an identified individual or an individual who is reasonably identifiable. We collect personal information so that we can:

- understand your requirements and provide you with a product or service;
- set up, administer and manage our products and services, including the management and administration of our products and services;
- assess and investigate any claims or rights exercised by you under one or more of our products or services;
- manage, train and develop our employees and representatives;
- manage complaints and disputes, and report to dispute resolution bodies; and
- get a better understanding of you, your needs, your behaviours and how you interact with us, so we can engage in product and service research, development and business strategy including managing the delivery of our services and products via the ways we communicate with you.

We collect your personal information directly from you and, in some cases, from other people or organisations. They may also use your personal information to help them provide products and services to other customers, but they'll never disclose your personal information to another customer without your consent.

Under the Privacy Act 1988, the Anti-Money Laundering and Counter-Terrorism Financing Act, Income Tax Assessment Acts, Tax Administration Act and A New Tax System (Goods and Services Tax) Act, National Consumer Credit Protection Act, Personal Properties Securities Act, and any regulations made under those Acts we may be authorised or required to collect your personal information.